

GAU 2611

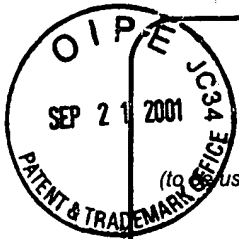
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RPTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1

Application Number

09/728,453

Filing Date

11/30/2000

First Named Inventor

Hull

Group Art Unit

2611

Examiner Name

Unassigned

Attorney Docket Number

15358-006220US

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## ENCLOSURES (check all that apply)

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Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
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Appeals and Interferences☐ Appeal Communication to Group  
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1) sixteen (16) cited references

2) Return receipt postcard

Remarks

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Firm  
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Individual name

Townsend and Townsend and Crew LLP

Sujit B. Kotwal

Reg No. 43,336

Signature

S. B. Kotwal

Date

September 19, 2001

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PA 3171017 v1



#N  
PATENT  
Attorney Docket No.: 15358-006220US  
Client Reference No.: ID-RSV-249

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan J. Hull et al.

Application No.: 09/728,453

Filed: November 30, 2000

For: TECHNIQUES FOR RECEIVING  
INFORMATION DURING  
MULTIMEDIA PRESENTATIONS AND  
COMMUNICATING THE  
INFORMATION

Examiner: Unassigned

Art Unit: 2611

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Assistant Commissioner for Patents  
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Sir:

The references cited on attached forms PTO-SB08A and PTO-SB08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application Nos. 09/714,785, filed November 15, 2000 (Attorney Docket No. 015358-006110US) and 09/521,252, filed March 8, 2000 (Attorney Docket No. 015358-006300US), with the exception of reference numbers 5, 7-9, 12, 15-16, 18, 21, and 24-30, copies of which are attached. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

*S. B. Kotwal*

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 1 of 2

## **Complete if Known**

Application Number 09/728,453  
Filing Date November 30, 2000  
First Named Inventor Hull, Jonathan J.  
Group Art Unit 2611  
Examiner Name Unassigned  
Attorney Docket Number 015358-006220US

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,990,934		Nalwa	11-23-1999	
	2	5,986,655		Chiu et al.	11-16-1999	
	3	5,978,477		Hull et al.	11-02-1999	
	4	5,946,654		Newman et al.	08-31-1999	
	5	5,862,292		Kubota et al.	01-19-1999	
	6	5,854,831		Parsadayan et al.	12-29-1998	
	7	5,799,150		Hamilton et al.	08-25-1998	
	8	5,734,719		Tsevdos et al.	03-31-1998	
	9	5,721,878		Ottesen et al.	02-24-1998	
	10	5,717,879		Moran et al.	02-10-1998	
	11	5,717,869		Moran et al.	02-10-1998	
	12	5,706,290		Shaw et al.	01-06-1998	
	13	5,686,957		Baker	11-11-1997	
	14	5,673,016		Lutes	09-30-1997	
	15	5,610,841		Tanaka et al.	03-11-1997	
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	21	5,491,511		Odle	02-13-1996	
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	27	4,905,094		Pocock et al.	02-27-1990	
	28	4,787,063		Muguet	11-22-1988	
	29	4,686,698		Tompkins et al.	08-11-1987	
	30	4,578,718		Parker et al.	03-25-1986	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Application Number 09/728,453  
Filing Date November 30, 2000  
First Named Inventor Hull, Jonathan J.  
Group Art Unit 2611  
Examiner Name Unassigned  
Attorney Docket Number 015358-006220US

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## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	31	ADDLESEE, M.D.; JONES, A.H.; LIVESSEY, F.; and SAMARIA, F.S., "The ORL Active Floor," IEEE Personal Communications, Vol. 4, No. 5, October 1997, pp. 35-41. <a href="ftp://ftp.uk.research.att.com/pub/docs/att/tr.97.11.pdf">ftp://ftp.uk.research.att.com/pub/docs/att/tr.97.11.pdf</a> .	<input type="checkbox"/>
	32	CUNADO, D.; NASH, J.M.; NIXON, M.S.; and CARTER, J.N., "Gait Extraction and Description by Evidencing Gathering," Proceedings of the Second International Conference on Audio and Video-based Person Identification, Washington, D.C., March 22-23, 1999, pp. 43-48.	<input type="checkbox"/>
	33	ELDRIDGE, M.; LAMMING, M.; and FLYNN, M., "Does A Video Diary Help Recall?", Technical Report EPC-1991-124, People and Computers VII, eds. Monk et al., 1992, pp. 257-269.	
	34	KONNEKER, L., "Automating Receptionists," Proceedings of the 1986 IEEE International Conference on Systems, Man, and Cybernetics, Atlanta, GA, October 14-17, 1986, pp. 1592-1596.	<input type="checkbox"/>
	35	LAMMING, M.G.; and NEWMAN, W.N., "Activity-based Information Retrieval: Technology in Support of Personal Memory," in F.H. Vogt (ed.), Personal Computers and Intelligent Systems. Proceedings of Information Processing 92, Vol. III, Elsevier Science Publishers, 1992, pp. 68-81.	<input type="checkbox"/>
	36	LOVSTRAND, L., "Being Selectively Aware with the Khronika System," Proceedings of the Second European Conference on Computer-Supported Cooperative Work, Kluwer Academic Publishers, 1991, pp. 265-277.	<input type="checkbox"/>
	37	NEWMAN, W.M.; ELDRIDGE; and LAMMING, M.G., "PEPSYS: Generating Autobiographies by Automatic Tracking," Proceedings of the Second European Conference on Computer-Supported Cooperative Work, September 25-27, 1991, Amsterdam, The Netherlands, pp. 175-188.	<input type="checkbox"/>
	38	PLAMONDON, R.; and LORETTE, G., "Automatic Signature Verification and Writer Identification - The State of the Art," Pattern Recognition, Vol. 22, No. 2, 1989, pp. 107-131.	<input type="checkbox"/>
	39	SEIKO INSTRUMENTS, INC., "Smart Lobby: The Electronic Sign-In Book That Tracks Visitors and Prints Badges, User Guide for Windows," Manual Part Number: 22-93000-00, copyright 1997.	<input type="checkbox"/>
	40	SONY MUSIC CORPORATION, "E-Guide Unmanned Reception System," Japan Industrial Journal, May 20, 1996, p. 6, ( <a href="http://salmon.crc.ricoh.com:8001/hull/1999/8/11207/11207.html">http://salmon.crc.ricoh.com:8001/hull/1999/8/11207/11207.html</a> ).	<input type="checkbox"/>
	41	VIREDAZ, M.A., "The Itsy Pocket Computer Version 1.5: User's Manual," Technical Note TN-54, Compaq Western Research Laboratory, July 1998., pp. 1-37.	<input type="checkbox"/>
	42	WANT, R.; HOPPER, A; FALCAO, V.; and GIBBONS, J.J., "The Active Badge Location System," ACM TOIS, Transactions on Information Systems, Vol. 10, No. 1, January, 1992, pp. 91-102.	<input type="checkbox"/>
			<input type="checkbox"/>

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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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